

Holographic quantum matter

2. Phases of matter

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scalar \mathcal{O} of dim. Δ	scalar ϕ with $m^2 = \Delta(\Delta - d - 1)/L^2$
conserved U(1) current J^μ	Maxwell U(1) gauge field A_a
conserved stress tensor $T^{\mu\nu}$	<i>gravity</i> with metric g_{ab}

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The bulk geometry encodes the **state** of the field theory:

$$\text{finite temperature } T \rightarrow \text{black hole at temp. } T$$

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Minimally coupled theory: **Einstein-Maxwell theory:**

$$\mathcal{L} = R - 2\Lambda - \frac{F_{ab}F^{ab}}{4}$$

with Maxwell flux $F_{ab} = \partial_a A_b - \partial_b A_a$.

Add finite density by finite chemical potential:

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Consistent solution: **AdS-Reissner-Nordstrom black hole:**

[Chamblin, Emparan, Johnson, Myers; *Phys. Rev.* **D60** 064018 (1999)]

$$A_t = \mu \left(1 - \left(\frac{r}{r_0} \right)^{d-1} \right),$$
$$ds^2 = \frac{1}{r^2} \left[\frac{dr^2}{f(r)} - f(r) dt^2 + d\mathbf{x}_d^2 \right],$$
$$f(r) = 1 - \left(1 + \frac{d-1}{d} r_0^2 \mu^2 \right) \left(\frac{r}{r_0} \right)^{d+1} + \frac{d-1}{d} \left(\frac{r}{r_0} \right)^{2d} .$$

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Similar phenomenon found in SYK models.

[Sachdev; *Phys. Rev.* **X5** 041025 (2015)]

When $T \ll \mu$, geometry looks like $\text{AdS}_2 \times \mathbb{R}^d$. For $r \approx r_0$, write

$$\zeta \approx \frac{r_0^2}{r_0 - r},$$

$$ds^2 \approx \frac{L_2^2}{\zeta^2} \left[\frac{d\zeta^2}{f_2(\zeta)} - f_2(\zeta) dt^2 \right] + \frac{dx_d^2}{r_0^2}, \quad f_2(\zeta) = 1 - (2\pi T\zeta)^2.$$

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This is a **quantum critical phase**: no parameter was tuned to realize IR criticality.

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$$\mathcal{L} = \dots - \frac{1}{2} \partial_a \phi \partial^a \phi - \frac{m^2}{2} \phi^2.$$

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In the IR $\text{AdS}_2 \times \mathbb{R}^d$, we find $\phi(\zeta) e^{ikx - i\omega t}$ with

$$\zeta^2 [\partial_\zeta^2 + \omega^2] \phi = \left(\frac{1}{4} + m_{\text{eff}}(k)^2 \right) \phi$$

with

$$m_{\text{eff}}(k)^2 = m^2 L_2^2 + k^2 r_0^2 L_2^2.$$

We predict k -dependent critical exponents!

[Faulkner, Liu, McGreevy, Vegh; *Phys. Rev.* **D83** 125002 (2011)]

In “physics experiment”, we’ll measure correlation functions:

$$G_{\mathcal{O}\mathcal{O}}^{\text{R}}(x, t) = \Theta(t) \cdot i\langle[\mathcal{O}(x, t), \mathcal{O}(0, 0)]\rangle.$$

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If we accessed *only* the $\text{AdS}_2 \times \mathbb{R}^d$ geometry, we’d find **spectral weight at finite temperature**:

$$\lim_{\omega \rightarrow 0} \frac{\text{Im} [G_{\mathcal{O}\mathcal{O}}^{\text{R}}(\omega, k)]_{\text{IR}}}{\omega} \sim \omega^{2\Delta_k - 2} \sim T^{2\Delta_k - 2}.$$

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In holography, we measure correlators near the boundary $r = 0$:

$$\phi(r \rightarrow 0) \sim e^{i(kx - \omega t)} \left[r^{d+1-\Delta} + G_{\mathcal{O}\mathcal{O}}^{\text{R}}(\omega, k) r^{\Delta} + \dots \right].$$

How is this not ruined by UV physics?

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IR: $r \sim r_0$

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We find that:

[Lucas; *JHEP* **03** 071 (2015)]

$$\lim_{\omega \rightarrow 0} \frac{\text{Im} [G_{\mathcal{O}\mathcal{O}}^{\text{R}}(\omega, k)]}{\omega} = \frac{1}{r_0^d} \phi^{(0)}(r = r_0, k)^2.$$

$\phi^{(0)}$ itself comes from matching IR and UV:

$$\phi^{(0)}(r) \sim \begin{cases} \phi_{\text{not norm}}^{\text{UV}}(r) + c_1 \phi_{\text{norm}}^{\text{UV}}(r) & r \ll \mu^{-1} \\ c_2 \phi_{\text{regular}}^{\text{IR}}(r) & r \sim \mu^{-1} \end{cases} .$$

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Spectral weight $\text{Im} [G_{\mathcal{O}\mathcal{O}}^{\text{R}}]$ sensitive to IR behavior: 👍

$$\begin{aligned} \lim_{\omega \rightarrow 0} \frac{\text{Im} [G_{\mathcal{O}\mathcal{O}}^{\text{R}}(\omega, k)]}{\omega} &= \frac{1}{r_0^d} \phi^{(0)}(r = r_0, k)^2 \\ &= \frac{1}{r_0^d} \phi_{\text{regular}}^{\text{IR}}(r = r_0, k)^2. \end{aligned}$$

IR physics dominates the *dissipative spectral weight*.

Quantum critical phases?

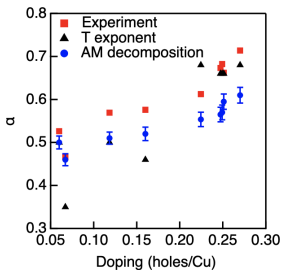
36

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Optical conductivity in BSCO with doping-dependent exponent?

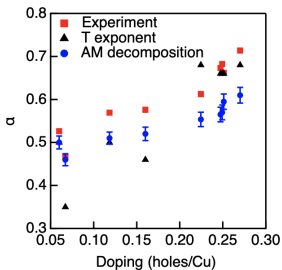
[van Heumen++; *Phys. Rev.* **B106** 054515 (2022)]



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[van Heumen++; *Phys. Rev. B* **106** 054515 (2022)]



Such experiments are difficult! Real metals have competing effects, limited range of applicability, etc.

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One answer: missing! Add bulk fermion operators.

[Cubrovic, Schalm, Zaanen; *Science* **325** 439 (2009)]

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It's debatable whether the low energy effective theory of a holographic metal should have long-lived fermions, such as

$$\text{tr} [\Psi \Phi] .$$

Fermi surface may also be “hidden behind the horizon”.

[Sachdev; *Phys. Rev.* **D86** 126003 (2012)]

Sometimes the metallic phase is *unstable*. Suppose we have a bulk scalar with

$$m_{\text{eff}}^2 = m^2 L_2^2 + \dots < -\frac{1}{4} \quad (\text{BF bound}),$$

i.e. Δ_k is complex-valued.

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Onset of SSB is signaled by a **(linear response) bulk mode ϕ which is unsourced and regular at the horizon.**

Consider a *charged* bulk scalar:

$$\mathcal{L} = R - 2\Lambda - \frac{F^2}{4} - |\partial_a \phi - iqA_a \phi|^2 - m^2 |\phi|^2.$$

In the AdS-RN background,

$$m_{\text{eff}}^2 = m^2 L_2^2 - q^2 |g^{tt}| A_t^2$$

could get below the BF bound for $r \sim r_0 \sim \mu^{-1}$ (if $\mu \ll T$).

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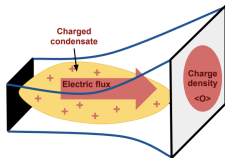
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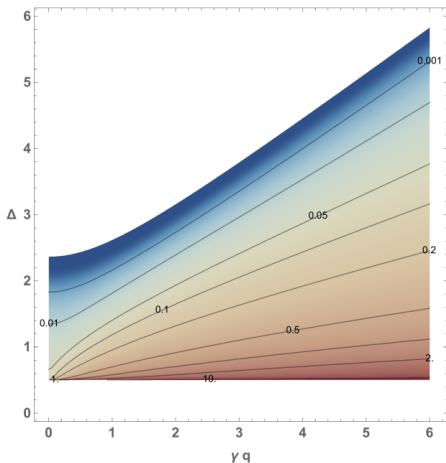
The stable solution will have a non-trivial profile $\phi(r)$ for bulk scalar:



This is the holographic **superconductor!**

Numerically solve linearized EOMs from high temperature to deduce the phase diagram for $d = 2$:

[Denef, Hartnoll; *Phys. Rev.* **D79** 126008 (2009)]



Technically, this is a **superfluid**, not superconductor. No *dynamical gauge fields* in the boundary theory.

Quasi-long-range order

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Quantum fluctuations in the bulk ($1/N$ effects) are responsible for destroying long-range order: there's only 1 Goldstone but N^2 other degrees of freedom!

[Anninos, Hartnoll, Iqbal; *Phys. Rev.* **D82** 066008 (2010)]

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Like all cartoons, holography has limitations... 🙄

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Address by going to **Einstein-Maxwell-dilaton gravity**:

$$\mathcal{L} = R - Z(\Phi) \frac{F^2}{4} - 2(\partial\Phi)^2 - V(\Phi).$$

The scalar dilaton Φ will be part of the background.

[Charmousis, Gouteraux, Kim, Kiritsis, Meyer; *JHEP* **11** 032 (2010)]

[Huijse, Sachdev, Swingle; *Phys. Rev.* **B85** 035121 (2012)]

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In string theory models of holography, e^Φ represents the size of the compact dimensions, and one expects:

$$Z(\Phi) \sim Z_0 e^{\alpha\Phi}, \quad V(\Phi) = -V_0 e^{\beta\Phi}.$$

A family of charged black hole solutions:

$$\Phi(r) \sim \log r,$$

$$A_t(r) \sim r^{\theta-d-z},$$

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Top-down holography: truncate string theory.

Can also build *interpolating* geometries between $(z, \theta)_{\text{UV}}$ and $(z, \theta)_{\text{IR}}$.

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Null energy condition in bulk, (near) area-law entanglement:

$$z \geq 1 + \frac{\theta}{d},$$
$$\theta \leq d - 1.$$

The z is the **Lifshitz/dynamical critical** exponent. It characterizes the relative scaling of time and space:

$$[t] = z[x].$$

θ is the **hyperscaling-violating** exponent:

$$[T^{tt}] = z + d - \theta,$$

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Are these more general than holography? 🤔

Universal low temperature black hole metric:

$$ds^2 \sim r^{2\theta/d} \left(\frac{dr^2}{r^2 f(r)} - f(r) \frac{dt^2}{r^{2z}} + \frac{d\mathbf{x}_d^2}{r^2} \right),$$

with

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Einstein-Maxwell had $z = \infty$, so $s \sim T^0$.

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$\Phi \neq 0$ (and $\theta < 0$) may be large- N artifacts, with e.g. spectra of field mass/charge.

[Karch; *JHEP* **07** 021 (2015)]

Non-relativistic holography has analogous dictionary. Adding scalar

$$\mathcal{L} = \mathcal{L}_{\text{EMD}} - \frac{1}{2}(\partial\psi)^2 - \frac{1}{2}B_0 e^{\beta\Phi} \psi^2,$$

the choice of B_0 determines operator dimension:

[Lucas, Sachdev, Schalm; *Phys. Rev.* **D89** 066018 (2014)]

$$\psi(r) = \underbrace{\psi_0 r^{d+z-\Delta-\theta/2}}_{\text{source}} + \underbrace{\psi_0 r^{\Delta-\theta/2}}_{\text{response}} + \dots$$

Here the operator dimension is defined as

$$\langle \mathcal{O}(x, 0) \mathcal{O}(y, 0) \rangle \sim \frac{1}{|x - y|^{2\Delta}}.$$

Holography is helpful for tackling quantum field theory with random-field disorder:

$$\mathcal{L} = \mathcal{L}_{\text{QFT}} - h(x)\mathcal{O}(x, t),$$

with *time-independent, quenched disorder*:

$$\overline{h(x)} = 0, \quad \overline{h(x)h(y)} = D\delta^{(d)}(x - y).$$

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In QFT, one uses a **replica method** to deal with disorder:

$$\mathcal{L} \rightarrow \sum_{a=1}^n \mathcal{L}_{\text{QFT},a} - D \sum_{a,b=1}^n \mathcal{O}_a(x) \int dt \mathcal{O}_b(x, t).$$

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In holography, no replicas; but **disordered boundary conditions**:

$$\psi(r \rightarrow 0, x) = h(x)r^{d+z-\Delta-\theta/2} + \dots$$

Find the resulting inhomogeneous black hole! 🙌

Let $[x] = -1$. Then if $[\mathcal{O}] = \Delta$,

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Harris relevant	$\nu > 0$
Harris marginal	$\nu = 0$
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Harris marginal (or relevant!) disorder could change IR fixed point.

Let's study $d = 1$, $z = 1$, $\theta = 0$, with Harris marginal disorder $\nu = 0$.

$$S = \int d^3x \sqrt{-g} \left(R + 2 - \frac{1}{2}(\partial\psi)^2 + \frac{3}{4}\psi^2 \right).$$

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Claim: there is a line of Lifshitz fixed points in the IR with

$$z_* = 1 + \frac{D}{8}, \quad \theta_* = 0.$$

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Idea: similar to QFT, naive perturbation theory suggests:

$$g_{tt} \sim -\frac{1}{r^2} - \frac{D \log r}{4 r^2} + \dots$$

which should be resummed to give **Lifshitz exponent z_*** .

[Hartnoll, Santos; *Phys. Rev. Lett.* **112** 231601 (2014)]

However, in the Lifshitz geometry, use $m^2 = -\frac{3}{4}$ to find:

[Ganesan, Lucas; *JHEP* **06** 023 (2020)]

$$\Delta_* \approx \frac{3}{2} + \frac{3D}{16} > \Delta_{\text{marginal}} = \frac{3}{2} + \frac{D}{8}.$$

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A more careful analysis shows that disorder is marginally irrelevant:

[Ganesan, Lucas, Radzihovsky; *Phys. Rev.* **D105** 066016 (2022)]

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Deviation from Lifshitz scaling visible at energy scale

$$E_{\text{IR}} = \Lambda_{\text{UV}} e^{-c/D},$$

and requires **non-perturbative resummation of bulk geometry.**

Now consider weakly Harris-relevant disorder: $[D] = 2\nu$,

$$\beta_D = -2\nu D + D^2 \frac{dC_{\mathcal{O}\mathcal{O}T}}{C_{TT}}.$$

There is now a flow to a fixed point at

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Construction generalizes to charged, non-relativistic models!

[Huang, Sachdev, Lucas; *Phys. Rev. Lett.* **131** 141601 (2023)]