## Holographic quantum matter

# 1. Introduction to AdS/CFT

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#### HOLOGRAPHIC QUANTUM MATTER

SEAN A. HARTNOLL, ANDREW LUCAS, AND SUBIR SACHDEV



arXiv:1612.07324

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duality = a (non-trivial) equivalence between the two descriptions [Maldacena; Int. J. Theor. Phys. **38** 1113 (1999)]

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# WHY? 🤨

AdS/CMT gives cartoon models for:

quantum phases of matter without quasiparticles

Lecture 2

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- quantum phases of matter without quasiparticles
- ▶ where real-time response is "easy" to calculate

- Lecture 2
- Lecture 3

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# WHY? 🤨

AdS/CMT gives cartoon models for:

- ▶ quantum phases of matter without quasiparticles
- ▶ where real-time response is "easy" to calculate
- ▶ and models have Planckian dynamics:

$$au_{\mathrm{eq}} \sim \frac{\hbar}{k_{\mathrm{B}} T}.$$

- Lecture 2
- Lecture 3
- Lecture 4

# AdS/CMT is not the first time in condensed matter physics that cartoons have been used!

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$$H = -t \sum_{i \sim j} \left( b_i^{\dagger} b_j + b_j^{\dagger} b_i \right) + U \sum_i \left( b_i^{\dagger} b_i \right)^2.$$

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At critical value of t/U, universal physics captured by continuum quantum field theory! O(2) Wilson-Fisher fixed point:

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$$\mathcal{L} = -\partial_{\mu}\bar{\psi}\partial^{\mu}\psi - s_{\rm c}|\psi|^2 - u|\psi|^4.$$

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$$\mathcal{L} = -\partial_{\mu}\bar{\psi}\partial^{\mu}\psi - s_{\rm c}|\psi|^2 - u|\psi|^4.$$

Access perturbatively?! Use vector field with  $N \to \infty$  components:

$$\mathcal{L} = -\partial_{\mu}\bar{\phi}^{a}\partial^{\mu}\phi^{a} - s_{c}\phi^{a}\phi^{a} - u\left(\phi^{a}\phi^{a}\right)^{2}$$

Work in  $d = 3 - \epsilon$  spatial dimensions? Take  $N \rightarrow 2$ ?

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... but it does not capture dynamics well at  $s=s_{\rm c}$  :

[Sachdev; Phys. Rev. **B57** 7157 (1998)]

$$\sigma(\omega) \sim \frac{1}{\mathrm{i}\omega} \left( G_{J_x J_x}^{\mathrm{R}}(\omega) - G_{J_x J_x}^{\mathrm{R}}(0) \right) \neq \frac{c_1 T}{\frac{1}{N} c_2 T - \mathrm{i}\omega} + \mathrm{small.}$$

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The Drude peak is an artifact of quasiparticles at large N. This is *qualitatively wrong* in N = 2 quantum critical regime:



Using holography, we'll calculate

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The reason we do better is that

holography assumes important degrees of freedom are  $T^{\mu\nu}$ ,  $J^{\mu}$ , etc.

The pathological  $N \to \infty$  limit underlying holography shows up elsewhere, and should be accounted for.

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A quantum field theory with conformal symmetry: scale invariance, Lorentz, translation, "special"

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CFTs are very powerful: 2- and 3-point correlators almost unique!

$$\begin{split} \langle \mathcal{O}(x)\mathcal{O}(y)\rangle &= \frac{C_{\mathcal{O}\mathcal{O}}}{|x-y|^{2\Delta}},\\ \langle \mathcal{O}(x)\mathcal{O}(y)\mathcal{O}(z)\rangle &= \frac{C_{\mathcal{O}\mathcal{O}\mathcal{O}}}{|x-y|^{\Delta}|x-z|^{\Delta}|y-z|^{\Delta}}. \end{split}$$

 $\Delta$  is the scaling dimension.

 $C_{\mathcal{OO}}$  are coefficients of **operator product expansion**:

$$\mathcal{O}(x)\mathcal{O}(0) = \frac{C_{\mathcal{O}\mathcal{O}}}{|x|^{2\Delta}} + \frac{C_{\mathcal{O}\mathcal{O}\mathcal{O}}}{C_{\mathcal{O}\mathcal{O}}}\frac{\mathcal{O}(0)}{|x|^{\Delta}} + \cdots \quad (|x| \to 0)$$

A CFT with quasiparticles: free boson in d spatial dimensions:

$$\langle \varphi(x)\varphi(0)
angle = rac{1}{|x|^{d-1}},$$
  
 $\langle \varphi(k)\varphi(-k)
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A CFT with quasiparticles: free boson in d spatial dimensions:



A CFT without quasiparticles: generic  $\Delta$ :

$$\langle \mathcal{O}(k)\mathcal{O}(-k)\rangle = |k|^{2\Delta - d - 1}$$

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(Semi)classical gravity in asymptotically anti-de Sitter space!

Gravity is a theory of **curved spacetime** where the distance between  $x^{\mu}$  and  $x^{\mu} + dx^{\mu}$  is

$$\mathrm{d}s^2 = g_{\mu\nu}\mathrm{d}x^\mu\mathrm{d}x^\nu.$$

The classical (Einstein) theory of gravity is

$$S = \int \mathrm{d}^D x \sqrt{-g} \left( \frac{R}{16\pi G} + \mathcal{L}_{\mathrm{matter}} \right)$$

where  $g = \det(g_{\mu\nu})$  and R is the Ricci scalar:

$$"R \sim \nabla^2 g_{\mu\nu}"$$

**Anti-de Sitter (AdS)** space is a solution to the *D*-dimensional Euler-Lagrange equations with

$$\mathcal{L} = \frac{1}{16\pi G} \left[ R + \underbrace{\frac{D(D-1)}{L^2}}_{\text{negative cosmological constant}} \right]$$

In a useful (Poincaré) coordinate patch, the metric is:

$$\mathrm{d}s^2 = \frac{L^2}{r^2} \left[ \underbrace{\mathrm{d}r^2}_{\mathrm{bulk}} + \underbrace{\mathrm{d}\mathbf{x}_d^2 - \mathrm{d}t^2}_{d \mathrm{ space} + \mathrm{time}} \right]$$

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Light from the bulk (r > 0) of AdS can hit the boundary (r = 0 in finite time, so boundary conditions will be important!

$$\mathrm{d}s^2 = 0 = \frac{L^2}{r^2} \left[ \left( \frac{\mathrm{d}r}{\mathrm{d}t} \right)^2 - \left( \frac{\mathrm{d}t}{\mathrm{d}t} \right)^2 \right] \quad \Longrightarrow \quad r = r_0 - t.$$

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The **isometry group** of a space is the group of **diffeomorphisms** (coordinate transformations) that leave the metric invariant.

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For  $AdS_{d+2}$ :

$$\mathrm{d}s^2 = \frac{L^2}{r^2} \left[ \underbrace{\mathrm{d}r^2}_{\mathrm{bulk}} + \underbrace{\mathrm{d}\mathbf{x}_d^2 - \mathrm{d}t^2}_{d \mathrm{ space} + \mathrm{time}} \right],$$

we have:

- ▶ translation:  $x^{\mu} \to x^{\mu} + c^{\mu}$
- Lorentz transformations on  $(t, x_i)$ .
- $\blacktriangleright$  scale invariance:  $r \to \lambda r, t \to \lambda t, x \to \lambda x$
- special conformal transformations

which is also the conformal symmetry group... 😌



**Conjecture:** the generating function of (d + 1)-dimensional CFT is a quantum gravity partition function on  $AdS_{d+2}$ :

[Gubser, Klebanov, Polyakov; Phys. Lett. B428 105 (1998)]
 [Witten; Adv. Theor. Math. Phys. 2 253 (1998)]

$$\left\langle \exp\left[\int \mathrm{d}^{d+1}x\,\phi_0(x)\mathcal{O}(x)\right]\right\rangle = Z_{\mathrm{grav}}\left[\phi_{\mathrm{bulk}}(x,r\to 0)\to r^{\#}\phi_0(x)\right].$$

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Approximate  $Z_{\text{grav}}$  by semiclassical saddle point if:

$$G \sim \frac{1}{N^2} \to 0$$
$$L \sim \lambda^{1/4} L_{\text{Planck}} \to \infty$$

Such a limit is reasonable (in some string theories). N is rank of gauge group, and  $\lambda$  is string coupling constant.

The holographic dictionary told us that:

source  $\phi_0$  for operator  $\mathcal{O}$  = bound. cond.  $\phi_{\text{bulk}}(x, r \to 0) \to r^{\#} \phi_0(x)$ 

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scalar $\mathcal{O}$ of dim. $\Delta$	scalar $\phi$ with $m^2 = \Delta(\Delta - d - 1)/L^2$
conserved U(1) current $J^{\mu}$	Maxwell U(1) gauge field $A_a$
conserved stress tensor $T^{\mu\nu}$	$gravity$ with metric $g_{ab}$

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These are "simply" the background fields we usually couple to!

$$Z[\delta\phi, \delta A_{\mu}, \delta g_{\mu\nu}] = \left\langle \exp\left[\int \mathrm{d}^{d+1}x \left(\mathcal{O}\delta\phi + J^{\mu}\delta A_{\mu} + \frac{1}{2}T^{\mu\nu}\delta g_{\mu\nu}\right)\right]\right\rangle_{\mathrm{CFT}}$$

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Bulk fields needed for low dimension  $\Delta$  operators. Bulk theory is gravitational since the stress tensor exists and is low dimension!

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Consider a bulk theory with

$$S_{\text{bulk}} = \int \mathrm{d}^{d+2}x \sqrt{-g} \left(\frac{R-2\Lambda}{16\pi G} - \frac{1}{2}\nabla_a\phi\nabla^a\phi - \frac{1}{2}m^2\phi^2\right)$$

(Indices:  $ab \cdots = bulk$ ,  $\mu \nu \cdots = bdy$ . spacetime,  $ij \cdots = bdy$ . space).

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Bulk equations of motion for  $\phi$ :

$$\nabla_a \nabla^a \phi = \frac{1}{\sqrt{-g}} \partial_a \left( g^{ab} \sqrt{-g} \partial_b \phi \right) = m^2 \phi$$

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$$\phi(x,r) = \phi(r) \mathrm{e}^{\mathrm{i}k_{\mu}x^{\mu}}$$

$$\phi(r) \sim r^{(1+d)/2} \mathbf{K}_{\Delta - (d+1)/2}(\sqrt{k_{\mu}k^{\mu}}r).$$

Note that  $m^2 < 0$  possible for real/allowed  $\Delta$ !

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Note that  $m^2 < 0$  possible for real/allowed  $\Delta$ ! Plug in to bulk action:

$$S_{\text{bulk}} = \int_{\epsilon}^{\infty} \mathrm{d}r \left[ -\frac{1}{2} |\nabla \phi|^2 - \frac{1}{2} m^2 |\phi|^2 \right] = \epsilon^{d+1-2\Delta} + k^{2\Delta - d - 1} \epsilon^0 + \cdots$$

A local counterterm cancels divergences. What remains is:

$$|k|^{2\Delta - d - 1} \sim \int \mathrm{d}^{d+1} x \mathrm{e}^{-\mathrm{i}k_{\mu}x^{\mu}} \frac{1}{(x_{\mu}x^{\mu})^{\Delta}} = \langle \mathcal{O}(k)\mathcal{O}(-k) \rangle_{\mathrm{CFT}}$$

The general principle is as follows: (take L = 1 for simplicity)

$$\phi(r \to 0, x^{\mu}) = \underbrace{\phi_0(x) r^{d+1-\Delta}}_{\text{non-normalizable}} + \dots + \underbrace{\frac{\langle \mathcal{O}(x) \rangle}{2\Delta - d - 1} r^{\Delta}}_{\text{normalizable}} + \dots$$

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(For some values of  $\Delta$ , there exists alternate quantization.)

The bulk action encodes OPE coefficients:

e.g. [Lucas, Sierens, Witczak-Krempa; JHEP  $\mathbf{07}$ 149 $(\mathbf{2017})]$ 

$$S_{\text{bulk}} = \int \mathrm{d}^{d+2}x \sqrt{-g} \left( R - 2\Lambda - \frac{C_{\mathcal{OO}}}{C_{\mathcal{OO}}} \left[ (\nabla \phi)^2 + m^2 \phi^2 \right] - \frac{C_{\mathcal{OOO}}}{C_{\mathcal{OOO}}} \phi^3 + \cdots \right).$$

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A non-trivial part of this OPE is *always* with stress tensor:

$$\sqrt{-g}\phi^2 \to \sqrt{-g}\left(1 - \frac{1}{2}g^{ab}\delta g_{ab}\right)\delta\phi^2 \subset \underline{C_{OOT}}\delta g_{ab}\delta\phi^2$$

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There is a crucial exception: a **black hole**!



Most generic  $x^{\mu}$ -homogeneous ansatz:

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Black hole horizon where  $g_{tt} = 0$ , at  $r = r_0$ .

#### Black holes have a (Hawking) **temperature** T:

e.g. [Bardeen, Carter, Hawking; Comm. Math. Phys. 31 161 (1973)]

$$t \to i\tau = i \frac{2}{|f'(r_0)|} \theta, \quad r = r_0 - \frac{r_0^2}{4} |f'(r_0)| \rho^2$$

transforms the near-horizon  $[f(r) \sim (r-r_0)]$  metric to

$$\mathrm{d}s^2 = \frac{1}{r^2} \left[ \frac{\mathrm{d}r^2}{f(r)} + f(r)\mathrm{d}\tau^2 + \mathrm{d}\mathbf{x}_d^2 \right] \to \mathrm{d}\rho^2 + \rho^2 \mathrm{d}\theta^2 + \cdots$$

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$$t \to i\tau = i \frac{2}{|f'(r_0)|} \theta, \quad r = r_0 - \frac{r_0^2}{4} |f'(r_0)| \rho^2$$

transforms the near-horizon  $[f(r)\sim (r-r_0)]$  metric to

$$\mathrm{d}s^2 = \frac{1}{r^2} \left[ \frac{\mathrm{d}r^2}{f(r)} + f(r)\mathrm{d}\tau^2 + \mathrm{d}\mathbf{x}_d^2 \right] \to \mathrm{d}\rho^2 + \rho^2 \mathrm{d}\theta^2 + \cdots \,.$$

This looks like *polar coordinates*. Absence of conical singularity requires  $\theta \sim \theta + 2\pi$  or

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Hawking temperature = boundary theory's temperature.

For gravity, the bulk-boundary correspondence:



implies that

energy density = 
$$\langle T^{tt} \rangle \sim \frac{1}{r_0^{d+1}} \sim T^{d+1}$$
,

which matches dimensional analysis for a CFT!

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Taking good (not divergent) boundary conditions and analytically continuing to real time:

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Boundary thermalization: fall into/grow bulk black hole!

Non-stringy justification for holography: **bulk geometry encodes entanglement structure of dual theory**.

[Swingle; Phys. Rev. **D86** 065007 (2012)]

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**Review:** given qubits on lattice, ground state

$$|\psi_0\rangle = \sum c_{s_1s_2s_3s_4\cdots}|s_1\rangle|s_2\rangle|s_3\rangle|s_4\rangle\cdots$$

trace out complement of A to get reduced density matrix on A:

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**Entanglement entropy** of A with region  $A^c$ :

$$S_A = \operatorname{tr}\left[-\rho_A \log \rho_A\right] \ge 0.$$

In 1 + 1-dimensional CFT, entanglement of domain of size  $\ell$ :

$$S_{\ell} = \frac{c}{6} \log \frac{\ell}{\epsilon}.$$

 $\epsilon = \text{UV cutoff}$  (lattice size). [Calabrese, Cardy; J. Stat. Mech. P06002 (2004)]

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Related to the entanglement structure of CFT ground state (MERA): [Swingle; Phys. Rev. **D86** 065007 (2012)]

$$|\psi_{0}\rangle = \sum_{a_{0},a_{1},\cdots,b_{0},\cdots} C^{c_{0}}_{b_{0}b_{4}}\cdots C^{b_{0}}_{a_{0}a_{2}}\cdots C^{a_{0}}{}_{s_{0}s_{1}}C^{a_{1}}{}_{s_{1}s_{2}}|s_{0}s_{1}s_{2}\cdots\rangle$$

In AdS/CFT, in semiclassical limit:

[Ryu, Takayanagi; Phys. Rev. Lett. 96 181602 (2006)]

$$S_A = \frac{1}{4G} \min \text{Area(bulk surface with boundary } A)$$
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Intuitively – the number of bonds broken in MERA!



**Example:** 1+1d CFT, interval of length  $\ell$ :

Area = 
$$\int ds = \int \sqrt{\frac{dr^2}{r^2} + \frac{dx^2}{r^2}} = \int \frac{dx}{r} \sqrt{1 + \left(\frac{dr}{dx}\right)^2}$$

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Require  $r(\pm \frac{\ell}{2}) = 0$ . Euler-Lagrange equation solved by

$$r(x) = \sqrt{\left(\frac{\ell}{2}\right)^2 - x^2},$$

leading to

Area = 
$$2 \int_{0}^{\ell/2} \frac{\frac{\ell}{2} \mathrm{d}r}{(\frac{\ell}{2})^2 - r^2} \rightarrow \frac{c}{6} \log \frac{\ell}{\epsilon}.$$

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Schematically we find:

$$S_{\ell} \sim \begin{cases} \frac{c}{6} \log \frac{\ell}{\epsilon} & \ell \ll r_0 \\ \ell/r_0 & \ell \gg r_0 \end{cases} \sim \begin{cases} \frac{c}{6} \log \frac{\ell}{\epsilon} & \ell \ll T^{-1} \\ T\ell & \ell \gg T^{-1} \end{cases}$$

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For large distances we reproduce thermal entropy density:

$$s_{\rm th} \sim \sqrt{g}|_{r,t}$$

 $\operatorname{or}$ 

area of horizon 
$$=$$
 thermal entropy